SHAHEED BENAZIR BHUTTO UNIVERSITY SHAHEED BENAZIRABAD



Construction of Extension of Facilities at Shaheed Benazir Bhutto University, Shaheed Benazirabad (Building Works)

Issued to M/s.

PROJECT COORDINATOR

Shaheed Benazir Bhutto University Shaheed Benazirabad Sakrand Road Landhi Stop, Nawabshah Tel No: 0244-9370520 Fax No: 0244-9370521 Email: pc@sbbusba.edu.pk Website: http://www.sbbusba.edu.pk

	TABLE OF CONTENTS	
DESCRIPTION		Page No.
INSTRUCTIONS TO APPL	ICANTS	
1. General		2
2. Submission of Applica		2
3. Evaluation / Pre-Quali	fication Criteria	3
3.1 General	·	4
3.2 Preliminary Exar		4
3.3 Mandatory Requ		4
3.4 Detailed Evaluat		5
3.5 Criteria for Detai	ed Evaluation	5
3.5.1 Professional Exp	erience Record	5
3.5.2 Financial Soundr	ess	6
3.5.3 Personnel Capab	ilities	7
3.5.4 Equipment Capa	bilities	8
3.6 Litigation History		8
3.7 Blacklisting & Ot	her Affidavits	8
4. Joint Venture (JV)		9
5. Conflict of Interest		9
6. Updating Pre-Qualification Information		9
7. Other Factors		9
ANNEXURE-A		11
Letter of Application		12
APPLICATION FORMS		
Application Form A-1	General Information	<u> </u>
Application Form A-2	-	-
	General Experience Record	16
Application Form A-3	Particular Experience Record; Contracts of Similar Nature and Complexity	17
Application Form A-4	Current Contract Commitments/Works in Progress	19
Application Form A-5	Joint Venture Summary	20
Application Form A-6	Personnel Capabilities	21
Application Form A-7	Candidate Summary	22
Application Form A-8	Equipment Capabilities	23
Application Form A-9	Financial Capability	24
Application Form A-10	Litigation History	26
Application Form A-11	Additional Information	27
Application Form A-12	Integrity Pact	28

INSTRUCTIONS TO APPLICANTS

1. GENERAL

The Shaheed Benazir Bhutto University, Shaheed Benazirabad under the PSDP funded project titled as **"Extension of Building Facilities at Shaheed Benazir Bhutto University, Shaheed Benazirabad"** intends to achieve Prequalified Construction Firms/Contractors for their Building Projects.

Master plan and detail designing of proposed new facilities is under process by consultant for proposed buildings having approximate covered area mentioned against each building along with external and other infrastructure development as approved in the PC-I / required at site detail as under at existing campus, of SBBU, SBA.

S.No	Description	Proposed Scope / Assignment
1.	Construction of Academic Block-III	67,570 Sft
2.	Construction of Administration Block-II	10,875 Sft
3.	Construction of Faculty / Visiting Faculty Hostel	16,051 Sft
4.	Construction of Boys Hostel	35,676 Sft
5.	Construction of Girls Hostel	35,676 Sft
6.	Construction of Girls Hostel (Boundary Wall)	1,600 Rft

2. SUBMISSION OF APPLICATIONS

- 2.1 The Project Coordinator Shaheed Benazir Bhutto University Shaheed Benazirabad invites the applications from the interested bidders to undertake the Construction of Extension of Facilities at Shaheed Benazir Bhutto University, Shaheed Benazirabad (as per attached Notice Prequalification of Contractors).
- 2.2 Applications for Prequalification (One Original and One Copy) must be submitted in separate sealed envelopes clearly mark Original (or) Copy, and placed under an outer envelope clearly marked with "Application for Pre-qualification" Construction of Extension of Facilities at Shaheed Benazir Bhutto University, Shaheed Benazirabad.

This should be delivered by hand (or) courier to **Project Coordinator** Shaheed Benazir Bhutto University Shaheed Benazirabad Sakrand Road Landhi Stop, Nawabshah Tel No: 0244-9370520 Email: pc@sbbusba.edu.pk

- 2.3 All pages of the Prequalification Documents shall be numbered, stamped and signed by the Authorized person. Pages which are unsigned and unstamped shall not be considered in evaluation. Binding documents shall be allowed as spiral and lose file shall not be accepted.
- 2.4 The name and mailing address of the applicant shall be clearly marked on top left corner of the envelope.
- 2.5 The applications shall be prepared in the English language. Information in any other language shall be accompanied by its translation in English. The Employer reserves the rights for rejection of pre-qualification in case of non-compliance of the above requirement.

- 2.6 The applicants must respond to all questions and provide complete information as advised in this document. Any false statement provided or any lapses to provide essential information may result in disqualification of the applicant.
- 2.7 Applicant, who has obtained pre-qualification documents, may request for clarification of contents of the document in writing, and response to such queries shall be made in writing within three calendar days, provided they are received at least five calendar days prior to the date of submission of pre-qualification documents.
- 2.8 At any time prior to the deadline for submission of documents, the Employer may amend the Prequalification Document by issuing addenda. Any addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all who have obtained the Prequalification Document.
- 2.9 Documents shall be received by the Employer at the address given in Section 2.2, on the date which has been set in Advertisement. The Employer may, at its discretion, extend the deadline for the submission of documents by amending the Prequalification Document, and in which case all rights and obligations of the Employer and the applicants subject to the previous deadline shall thereafter be subject to the deadline as extended. *In case of downloading of Pre-qualification Documents from websites, the nominated fee shall be submitted at the time of submission of Proposals.*
- 2.10 To assist in the evaluation of information, the Employer may, at its discretion, ask any applicant for a clarification of any information which shall be submitted within a stated reasonable period of time. Any request for clarification shall be in writing. If any applicant does not provide clarifications of the information requested by the date and time set in the Employer's request for clarification, then application of the applicant may be rejected.
- 2.11 The Employer reserves the right to accept or reject late applications.
- 2.12 Applicants will be informed, in due course, of the result of the evaluation of applications. Only the firms of constructors and joint ventures prequalified under this process will be invited to bid.

3. EVALUATION / PRE-QUALIFICATION CRITERIA

3.1 GENERAL

Pre-qualification will be based on all the criteria given in succeeding paras 3.2 to 3.7 regarding the Applicant's Experience Record, Personnel Capabilities, Equipment Capabilities and Financial Soundness as demonstrated by the Applicant's responses in the forms provided within this document.

Sub-contractor's experience and resources shall not be taken into account in determining the applicant's compliance with the qualifying criteria. However, for Joint Venture experience & resources of all firms will be considered as per para 4.Consortium or Association of firms will be considered for similar treatment as in case of Joint Venture.

The Employer reserves the right to waive minor deviations; if these don't materially affect the capability of an applicant to perform the contract.

The Employer reserves the right to verify or seek clarification of the information furnished by the applicants. The Employer may reject any application for any false statement knowingly made by any applicant in, or pursuant to, their application or for any statement furnished in connection therewith, and intended to be relied upon by the Employer, which is incorrect in any respect.

Applicants meeting the minimum requirements mentioned in Para's below besides other factors shall be considered for pre-qualification.

3.2 PRELIMINARY EXAMINATION

All applications/documents submitted shall be checked for the following items:

- 3.2.1 Has the Letter of Application (Annex A) been signed?
- 3.2.2 Has all information asked for in Form A-1 to A-12 been provided?
- 3.2.3 Have all Affidavits required under Form A-10 to A-12 been provided and duly signed by the authorized person?
- 3.2.4 Have audited balance sheets of last three years been provided?
- 3.2.5 In case of Joint Venture; has the relevant agreement been provided and duly signed?

3.3 MANDATORY REQUIREMENTS

All the applicants shall be subjected to initial scrutiny using the following criteria:

- 3.3.1 Registration with Pakistan Engineering Council in Category C-3 or above and at least in specialization codes CE09, CE10, EE04, EE06, EE11.The contractor should enclose PEC Registration Certificate, valid for the current year.
- 3.3.2 The Bidder should be registered with FBR and Sindh Revenue Board SRB for both Income and Sales Tax, with company name appearing on the active taxpayer list (ATL). The contractor should also submit copy of Registration Certificate of NTN and SNTN and print from ATL.
- 3.3.3 The bidder shall submit affidavit of no litigation history, affidavit of non blacklisting, affidavit of never indulge in any corrupt, fraudulent and collusive practices. The bidder shall also submit affidavit that the information and documents provided with this pre-qualification document is correct.

3.4 DETAILED EVALUATION

After the initial screening of all applicants, a detailed evaluation of the applicants shall be undertaken using the following criteria based on the scoring system as follows:

Clause	Category	Weightage/ Marks/ Points
3.5.1	Professional Experience Record	40
3.5.2	Financial Soundness	20
3.5.3	Personnel Capabilities	15
3.5.4	Equipment Capabilities	25
	Total:	100

Note: To qualify, applicants must receive not less than the specified minimum acceptable points aggregate 75 points of maximum 100 points.

3.5 CRITERIA FOR DETAILED EVALUATION

The further detailed criteria for each category may be developed as given under each head as follows.

3.5.1 Professional Experience Record

Experience for Projects Completed (Form A-2, A-3 and A-4) will be evaluated as below.

General

(Information regarding similar / comparable projects completed is to be supported by documents such as Work Orders, Completion Certificate, Maintenance / Defects Liability Certificate and any other relevant document).

Sr. No.	Description	Maximum Points
1.	Building Projects executed and completed during last 10 years	
	Rs. 200 Million or above (03 Marks for each Project)	15
2.	Building Projects executed with University/HEI Institutes during last 10 years Institutes Rs. 100 Million or above (03 Marks for each Project)	15
3.	<i>Building Projects in hands execution during last 10 years</i> Rs. 50 Million or above <i>(02 Marks for each Project)</i>	10

Sub Total: 40

3.5.2 Financial Soundness

Tendering Capability of an applicant will be taken as follows:

- **3.5.2.1** The applicant should demonstrate that he has access to, or has available liquid assets, un-encumbered real assets, lines of credit and other financial means sufficient to meet the cash flow for the execution of works. Applicant's commitments for other ongoing contracts shall also be considered.
- **3.5.2.2** The Audited Balance Sheets and Annual Turn Over for the last three years (Form A-9) from Chartered Accountant firm must be submitted and should demonstrate the soundness of the applicant's financial position, showing long term profitability. Where necessary, the Employer will make inquiries with the applicant's bankers.

Sr. No.	Description	Marks Assigned	Criteria for Marks Obtained
a)	Average Annual Turnover for Last three (03) Years		 Average annual turnover of last three years from audited reports: Rs. 300 Million or above = 10 Points From Rs. 200M to 299.99M = 7 Points From Rs. 100M to 199.99M = 5 Points From Rs. 50M to 99.99M = 2 Points
	Working Capital (Current Assets-Current Liability) in last 3 Years		 Points are given on working capital for last three years from audit report and balance sheet as bellow: Rs. 100 Million or above = 05 Points From Rs. 50M to 99.99M = 03 Points From Rs. 49.99M or bellow = 01 Points
C)	Submission of Income Tax Return for last 5 Years	05	 Income tax return submitted in last 5 years: One Mark given for each year
Total Marks Allocated		20	

3.5.2.3 Points shall be awarded under this category based on the following criteria:

3.5.3 Personnel Capabilities

Brief Discussion of Personnel Capabilities

Personnel deputed on site (Form A-6 & A-7) will be evaluated on the basis of following points:

(Information regarding education qualification, total work experience and specific work experience is to be supported by documents such as copy of education qualification certificate / degree and CVs of concerned personnel proposed position, duly signed and, any other relevant documents).

Sr. No.	Description	Maximum Points
1.	Project Manager (Qualified B.E or preferably M.E with minimum 15 years experience of similar nature)	4
2.	Assistant Project Manager (Qualified B.E with minimum 10 years experience of similar nature)	2
3.	Site Engineer (Qualified B.E with minimum 5 years experience)	2
4.	Quantity Surveyor (DAE with minimum 10 years experience)	1.5
5.	Surveyor (DAE with minimum 10 years experience)	1.5
6.	Site Supervisor (Civil) (DAE with minimum 10 years experience)	1.5
7.	Site Supervisor (Electrical) (DAE with minimum 10 years experience)	1.5
8.	Lab Technician (Msc Geology or Chemistry with 05 years experience)	1
	Sub Total:	15

* All Safety measures will be the responsibility of Project Manager and Site Engineer.

3.5.4 Equipment Capabilities

The applicant should own, or have assured access to (through rented, lease, purchase agreement or other means), the following key equipment (limited to only major items of equipment) in full working order, and must demonstrate that, based on known commitments, these will be available for deployment on the proposed contract or works. (Form A-8) The applicant may also list alternative equipment which he would propose for the contract together with an explanation of the alternate proposal.

Points will be given on the basis of the following criteria:

Sr. No.	Description	Max. Points
1.	Concrete Batching Plant of Capacity of at least 10 m3 / hour	6
2.	Concrete pouring pump	5
3.	Transit Mixer (T.M) 01 mark for each	3
4.	Ply wood fair face Formwork (Shuttering, Scaffolding, pipes and accessories) 01 mark for each lot of 10,000 sqft	3
5.	Rebar / Steel Cutting and Bending Machine	2
6.	Earth Rammer	1
7.	Electric Generator (Minimum capacity 50 KVA)	1
8.	Total Station, Auto Level & other Survey Equipment	1
9.	De-watering Pump (Minimum 4"dia)	1
10.	Concrete Hoist	1
11.	Concrete Vibrators 0.5 mark for each	1
	Total Maximum Points	25

Note: Documentary Evidence (Ownership Certificate or Lease agreement) must be provided for **Sr. 1, 2 & 3**

3.6 LITIGATION HISTORY (FORM A-10)

The applicant should provide an affidavit showing accurate information of all litigation or arbitration resulting from contracts completed or under execution. A consistent history of more than one award against the applicant or any partner or a joint venture will result in rejection of the application. In case of no litigation history in the last 5 years, a "No Litigation Certificate" shall be submitted on stamp paper with the bid.

3.7 NON BLACKLISTING & OTHER AFFIDAVITS (FORM A-11)

An affidavit / Undertaking is to be provided that the applicant currently is not blacklisted by the government / semi government or any autonomous body.

The applicant should also provide an undertaking / affidavit on non-judicial stamp paper

to the effect that all documents / particulars / information given with this pre-qualification document are true.

The applicant should also provide an affidavit to the effect that applicant has never indulged in corrupt, fraudulent or collusive practice for procuring contracts.

4. JOINT VENTURE (FORM A-5)

The contractor can form a Joint Venture (JV) to strengthen their technical & financial capabilities. In case of JV all information regarding both partners shall be given in relevant forms. JV agreement should be attached for information. Marking for Joint Venture Firms will be Cumulative.

Joint Venture must comply with the following minimum requirements: -

- a. The lead partner shall meet not less than 50 percent of all qualifying criteria given in Para's 3.1 and 3.7 heretofore.
- b. Each of the partners shall meet not less than 25 percent of all the qualifying criteria given in Para's 3.1 and 3.7 heretofore.
- c. The joint venture must collectively satisfy the criteria of Para's 3, 4 and 5, for which purpose the relevant figures for each of the partners shall be added together to arrive at the JV's total capacity. Individual members must satisfy each of the requirements of Para's 3.5 and 3.6 heretofore.
- d. Any change in a prequalified JV after prequalification shall not be allowed.
- e. Already prequalified firm or partner of any JV, cannot form JV with any other firm.
- f. Bid shall be signed by all members in the JV so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy of the JV agreement duly incorporating the joint and several liabilities with respect to the contract.
- g. The prequalification of a JV does not necessarily prequalify any of its partners individually or as a partner in any other JV or association.

5. CONFLICT OF INTEREST

The Applicant (including all members of a JV) must not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification/ post-qualification and bidding documents for the project, or was proposed as Engineer for the contract, over the last fifteen (15) years. Any such association may result in disqualification of the Applicant.

6. UPDATING PREQUALIFICATION INFORMATION

Bidders shall be required to update the financial, personnel and equipment information used for prequalification at the time of submitting their bids, to confirm their continued compliance with the qualification criteria and verification of the information provided at the time of prequalification. A bid shall be rejected if the Applicant's qualification thresholds are no longer met at the time of bidding.

7. OTHER FACTORS

Only firms and JVs that have been prequalified under this procedure shall be invited to bid. A

qualified firm or a member of a qualified JV may participate only in one bid for the contract. If a firm (singly or as a JV) submits more than one bid, all bids including that bidder will be rejected. This rule will not apply in respect of bids which include specialist sub-contractors who are used by more than one bidder.

The Employer reserves the right to:-

- a. Amend the scope and value of any contract(s) to be bid, in which event the bidder(s) will only bid among those prequalified bidders who meet the requirements of the contract(s) as amended. However, the Employer has to review the disqualified bids who originally do not meet the specified criteria for Pre-qualification.
- b. Reject or accept any application without any explanation; and
- c. Cancel the prequalification process and reject all applications.

The Employer shall neither be liable for any such actions nor be under any obligation to inform the Applicant of the grounds for rejection, however, maybe debriefed if solicited.

Applicants will be informed in writing by mail or email of the result of their applications and may be debriefed if solicited.

ANNEXURE – A

Annex-A

Letter of Application

[Letterhead paper of the Applicant, or partner responsible for a joint venture, including full postal address, telephone no, fax no., telex no., cable and email address]

Date:

To:

Project Coordinator

Shaheed Benazir Bhutto University Shaheed Benazirabad Sakrand Road Landhi Stop, Nawabshah Tel No: 0244-9370520 Email: pc@sbbusba.edu.pk

Sirs.

- 1. Being duly authorized to represent and act on behalf of (Hereinafter "the Applicant"), and having reviewed and fully understood all the prequalification information provided, the undersigned hereby apply to be pregualified as a bidder for the work of Construction of Extension of Facilities at Shaheed Benazir Bhutto University, Shaheed Benazirabad.
- 2. Attached to this letter are copies of original documents defining¹:
 - (a) The Applicant's legal status;
 - (b) The principal place of business; and
 - (c) The place of incorporation (for applicants who are corporations); or the place of registration and the nationality of the owners (for applicants who are partnerships or individually-owned firms).
- 3. Your Organization and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and requested by yourselves or the authorized representative to verify statements and information provided in this application, or with regard to the resources, experience, and competence of the Applicant.
- 4. Your Organization and its authorized representatives may contact the following persons for further information², if needed.

Telephone 1
Telephone 2
Telephone 1
Telephone 2
Telephone 1
Telephone 2

Financial Inquiries

Contact 1	Telephone 1
Contact 2	Telephone 2

5. This application is made with the full understanding that:

- (a) Bids by prequalified applicants will be subject to verification of all information submitted for prequalification at the time of bidding;
- (b) Your Organization reserves the right to:
 - (i) Amend the scope and value of any contract under this project; in such event bids will only be called from prequalified bidders who meet the revised requirements; and
 - (ii) Reject or accept any application, cancel the prequalification process, and reject applications; and
 - (iii) Your Organization shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for actions at 5(b) here above.
 - (iv) Your Organization shall not be liable for consequence of, and shall be under no obligation to inform the applicant of the grounds for, actions taken under Para 5(b) here above.
- 6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, to the joint venture or association. We also specify the financial commitment in terms of the percentage of the value of the (each) contract, and the responsibilities for execution of the (each) contract.
- 7. We confirm that in the event that we bid, that bid as well as any resulting contract will be.
 - a. Signed so as to legally bind all partners, jointly and severally; and
 - b. Submitted with a Joint Venture agreement providing the joint and several liabilities of all partners in the event the contract is awarded to us.
- 8. The undersigned declare that the statements made, and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed:

Name:

For and on behalf of (Name of Applicant or Lead Partner of a Joint Venture)

- 1 -- For applications by joint ventures, all the information requested in the prequalification documents is to be provided for the joint venture, if it already exists and for each party to the joint venture separately. The lead partner should be clearly identified. Each partner in the joint venture shall sign the letter.
- 2 -- Application by joint ventures should provide information on separate sheet information for each party to the application.
 - -- Applicants who are not joint ventures should delete Para 6&7 and initial the deletions.

APPLICATION FORMS

APPLICATION FORM A-1

Page___ of ____Pages

GENERAL INFORMATION

All individual firms and each partner of a joint venture applying for prequalification are requested to complete the information in this form. Nationality information is also to be provided for foreign owners or applicants who are forming part of the Joint Ventures as required under the PEC Bye-Laws as a Partnership/Joint Venture.

Where the Applicant proposes to use named subcontractors for critical components of the works or for work contents in excess of 10 percent of the value of the whole works, the following information should also be supplied for the specialist subcontractor(s).

1.	Name of Firm:	
2.	Head Office Address:	
3.	Telephone	Contact Person: Name: Title:
4.	Fax	Email:
5.	Place of Incorporation/Registration	Year of Incorporation/Registration
6.	NTN No:	Sales Tax No:

NATIONALITY OF OWNERS		
	NAME	NATIONALITY
1.		
2.		
3.		
4.		
5.		

Name of Applicant or Partner of a Joint Venture

Applicant and each partner to an applicant should provide information along with Letter of Award, Taking over Certificate, Maintenance / Defects Liability Certificate and any other relevant document, on their completed building and related contracts executed during last **ten (10)** years (Instructions to Applicant, Para 3.5.1).

Use a separate sheet for each contract

1.	Name of Contract:
2.	Country/ Location:
3.	Name of Employer:
4.	Employer Address, Phone Numbers & E-mail Address
5.	Nature of works and special features relevant to the contract for which the Applicant wishes to prequalify
6.	Contract Role (Tick One)
	Main Contractor, Sub-Contractor, Partner in a Joint Venture
7.	Value of the total contract at completion, or at date of award for current contract
	Contract Price Currency
8.	Equivalent in Pak/Rs.
9.	Date of Award
10.	Date of Completion
11.	Contract Duration (Years and Months) Years Months
12.	Specified Requirements:
	(Insert any specific criteria required for particular operations, such as annual volume of earthmoving, underground excavation, or placing concrete etc.)

All individual firms and all partners of a joint venture are requested to complete the information in this form. The information supplied should be the annual turnover of the Applicant (or each member of a joint venture), in terms of the amounts billed to clients for each year for work in progress or completed over the past five years.

APPLICATION FORM A-3

Page___ of ____Pages

EXPERIENCE OF SIMILAR NATURE COMPLEXITY PROJECTS COMPLETED

Name of Applicant or Partner of a Joint Venture

Applicant and each partner to an applicant should provide information along with Letter of Award, Taking over Certificate, Maintenance / Defects Liability Certificate and any other relevant document, on their completed contracts of similar / comparable nature executed during last **ten (10)** years (Instructions to Applicant, Para 3.5.1).

Use a separate sheet for each contract.

1.	Name of Contract:
2.	Country/ Location:
3.	Name of Employer:
4.	Employer Address, Phone Numbers & E-mail Address
5.	Nature of works and special features relevant to the contract for which the Applicant wishes to prequalify
6.	Contract Role (Tick One)
	Main Contractor, Sub-Contractor, Partner in a Joint Venture
7.	Value of the total contract at completion, or at date of award for current contract
	Contract Price Currency
8.	Equivalent in Pak/Rs.
9.	Date of Award
10.	Date of Completion
11.	Contract Duration (Years and Months) Years Months
12.	Specified Requirements:
	(Insert any specific criteria required for particular operations, such as annual volume of earthmoving, underground excavation, or placing concrete etc.)

All individual firms and all partners of a joint venture are requested to complete the information in this form. The information supplied should be the annual turnover of the Applicant (or each member of a joint venture), in terms of the amounts billed to clients for each year for work in progress or completed over the past five years. Use a separate sheet for each partner of a joint venture.

On a separate page, using the format of Application Form A-5, each applicant or partner of a Joint Venture is required to list all contracts of a value equivalent to Pak Rs.-(User/Employer to provide the amount) million, of a similar nature and complexity to the contract for which the Applicant wishes to qualify, undertaken during the last five years1. The information is to be summarized, using Application Form A-5, for each contract completed or under execution by the Applicant or by each partner of a Joint Venture.

Where the Applicant proposes to use named subcontractor(s) for critical components of the works or for work contents in excess of 10 percent of the value of the whole works, the information in the aforementioned forms should also be supplied for each specialist subcontractor.

APPLICATION FORM A-4 Page of Page of Pages

Name of Applicant or partner of a joint venture

Applicants and each partner to an application should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which substantial Completion Certificate has yet to be issued.

Name of Contract	Value of Outstanding work (Equivalent Pak Rs. Millions)	Estimated Completion Date
1.		
2.		
3.		
4.		
5.		
6.		

Use a separate sheet for each partner of a joint venture.

APPLICATION FORM A-5 JOINT VENTURE SUMMARY

Page	of	Pages
------	----	-------

Name of all Partners of a Joint Venture
1. Lead Partner
2. Partner
3. Partner
4. Partner
5. Partner
6. Partner

Total value of annual construction turnover, in terms of work billed to clients,

Annual Turnover Data (Construction only; Equivalent in Pak Rupees, Millions)							
Partner	Form A-2 Page No.	Year 1	Year 2	Year 3	Year 4	Year 5	
1. Lead Partner							
2. Partner							
3. Partner							
4. Partner							
5. Partner							
6. Partner							
	Total:						

1

Where applications are being invited for a number of contracts, suitable wording should be introduced, to allow applicants to apply for individual contracts or groups of contracts(slice and package contracts).

APPLICATION FORM A-6 PERSONNEL CAPABILITIES

Page of Pages

Name of Applicant or Partner of a Joint Venture

For specific positions essential to contract implementation, Applicants should provide the names of at least two candidates qualified to meet the specified requirements stated for each position in Para 3.5.2of the Instructions to Applicants. The data on their experience should be supplied on separate sheets using one Form for each candidate (Application Form A-7).

	·
1.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate
2.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate
3.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate
4.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate

APPLICATION FORM A-7 CANDIDATE SUMMARY

Page___ of ____Pages

Name of Applicant or Partner of a Joint Venture

Position		Candidate [Tick appropriate one]
		□Prime □ Alternate
Candidate information	1. Name of Candidate	2. Date of Birth
	3. Professional Qualification	
Present employment	4. Name of Employer	
	Address of Employer	
	Telephone	Contact (manager/personnel officer)
	Fax	Telex
	Job title of candidate	Years with present employer
		20 years in reverse abranelagiaal order. India

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

Month/ Dates/Years		Company / Project / Position / Relevant technical and management experience
From	То	

APPLICATION FORM A-8 EQUIPMENT CAPABILITIES

Page___ of ____Pages

Name of Applicant or Partner of a Joint Venture

The Applicant shall provide adequate information to demonstrate clearly that he has the capability to meet the requirements for each and all items of equipment listed in the Instructions to Applicants. A separate Form shall be prepared for each item of equipment listed in Para 3.5.3 of the Instructions to Applicants, or for alternative equipment proposed by the Applicant.

litem / Equipme	int	
Equipment information	1. Name of manufacturer	2. Model and power rating
	3. Capacity	4. Year of manufacture
Current status	5. Current location	
	6. Details of current commitments	
Source	7. Indicate source of the equipment	
	□ Owned □ Rented □ Leas	ed

Omit the following information if it is owned by the Applicant or partner.

Owner	8. Name of owner				
	9. Address of owner				
	Telephone	Contact name and title			
	Fax	Telex			
Agreement Details of rental/lease specific to the Project.					

Use a separate sheet for each partner of a joint venture.

APPLICATION FORM A-9 FINANCIAL CAPABILITY

Name of Applicant or Partner of a Joint Venture

Applicants, including each partner of a joint venture, should provide financial information to demonstrate that they meet the requirements stated in the Instructions to Applicants. Each applicant or partner of a joint venture must fill-in this form. If necessary, use separate sheets to provide complete banker information. A copy of the audited balance sheets should be attached.

Banker	Name of banker	
	Address of banker	
	Telephone	Contact name and title
	Fax	Email

Summarize actual assets and liabilities in Pak Rupees (Equivalent at the current rate of exchange at the end of each year) for the previous five years, based upon known commitments, projected assets and liabilities in Pak Rupees equivalent for the next two years.

Financial Information in Pak Rs.	<u>Actual</u> previous five year				<u>Projected</u> next two years		
or Equivalent	1	2	3	4	5	6	7
1. Total Assets							
2. Current Assets							
3. Total Liabilities							
4. Current Liabilities							
5. Profits before Taxes							
6. Profits after Taxes							

Specific proposed sources of financing to meet the cash flow of the Project, net of current commitments (Instructions to Applicants, Para 3.5.4).

Annual Turnover (Construction Only)				
Year	Turnover (in actual currency)	Equivalent Rupees in Millions.		
1.				
2.				
3.				
4.				
5.				

Source of financing	Amount (Pak Rs. or equivalent)
1.	
2.	
3.	
4.	

Attach audited financial statements for the last five years (for individual applicant or each partner of joint venture).

Firms owned by individuals, and partnerships, may submit their balance sheets certified by a registered accountant, and supported by copies of tax returns, if audits are not required by the laws of their countries of origin in case of foreign firms.

APPLICATION FORM A-10 LITIGATION HISTORY

Name of Applicant or Partner of a Joint Venture

Applicants, including each of the partners of a joint venture, should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution (Instructions to Applicants, Para 3.6). A separate sheet should be used for each partner of joint venture.

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value Pak Rs. or equivalent)

Name of Applicant or Partner of a Joint Venture

- 1. Integrity Pact should be attached as Application **Form A-12** duly signed and stamped.
- 2. Any other pertinent information in support of this prequalification should also be furnished.

Disqualification of Supplier and Contractors

"The Employer <u>may</u> disqualify a supplier or contractor if it finds, at any time, that the information submitted by his concerning his qualification as supplier or contractor was false and materially inaccurate or incomplete.

Name of Applicant or Partner of a Joint Venture

DECLARATION OF FEES, COMMISSIONS AND BROKERAGE ETC. PAYABLE BY THE CONTRACTORS / SUPPLIERS OF WORKS, GOODS & SERVICES

[the Contractor / Supplier / Seller] hereby declares its intention not to obtain or induce the procurement of any contract, right, interest, privilege or other obligation or benefit from Government of Pakistan or any administrative subdivision or agency thereof or any other entity owned or controlled by it (GoP) through any corrupt business practice.

Without limiting the generally of the foregoing, [the Contractor / Supplier / Seller] represents and warrants that it has fully declared the brokerage, commission, fees etc. paid or payable to anyone and not given or agreed to give and shall not give or agree to give to anyone within or outside Pakistan either directly or indirectly through any natural or juridical person, including its affiliate, agent, associate, broker, consultant, director, promoter, shareholder, sponsor or subsidiary, any commission, gratification, bribe, finder's fee or kickback, whether described as consultation fee or otherwise, with the object of obtaining or including the procurement of a contract, right, interest, privilege or other obligation or benefit in whatsoever form from GOP, except that which has been expressly declared pursuant hereto.

[the Contractor / Supplier / Seller] certifies that it has made and will make full disclosure or all agreements and arrangements with all persons in respect of or related to the transaction with GoP and has not taken any action or will not take any action to circumvent the above declaration, representation or warranty.

[the Contractor / Supplier / Seller] accepts full responsibility and strict liability for making any false declaration, not making full disclosures, misrepresenting facts or taking any action likely to defeat the purpose of this declaration, representation or warranty. It agrees that any contract, right, interest, privilege or other obligation or benefit obtained or procured as aforesaid shall, without prejudice to any other right and remedies available to GoP under any law, contract or other instrument, be avoidable at the option of GoP.

Notwithstanding any rights and remedies exercised by GoP in this regard, [the Contractor / Supplier / Seller] agrees to indemnify GoP for any loss or damage incurred by it on account of its corrupt business practices and further pay compensation to GoP in an amount equivalent to ten times the sum of any commission, gratification, bribe, finder's fee or kickback given by [the Seller / Supplier / Contractor] as aforesaid for the purpose of obtaining or inducing the procurement of any contract, right, interest, privilege or other obligation or benefit in whatsoever form from GoP.

Name of Employer	Name of Applicant
Signature	Signature
Seal	Seal